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# Discourse Representation Structure Parsing with Recurrent Neural Networks and the Transformer Model

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## Abstract

We describe the systems we developed for Discourse Representation Structure (DRS) parsing as part of the IWCS-2019 Shared Task of DRS Parsing.<sup>1</sup> Our systems are based on sequence-to-sequence modeling. To implement our model, we use the open-source neural machine translation system implemented in PyTorch, OpenNMT-py. We experimented with a variety of encoder-decoder models based on recurrent neural networks and the Transformer model. We conduct experiments on the standard benchmark of the Parallel Meaning Bank (PMB 2.2.0). Our best system achieves a score of 84.8% F<sub>1</sub> in the DRS parsing shared task.

## 1 Introduction

Discourse Representation Theory is a popular theory of meaning representation designed to account for a variety of linguistic phenomena, including the interpretation of pronouns and temporal expressions within and across sentences (Kamp and Reyle, 1993). The Groningen Meaning Bank (GMB; Bos et al. 2017) provides a large collection of English texts annotated with Discourse Representation Structures (DRS), while the Parallel Meaning Bank (PMB; Abzianidze et al. 2017) provides DRSs in English, German, Italian and Dutch. Furthermore, the PMB introduces clause representation, as shown on the top of Figure 1.

With the recent introduction of neural network learning to the Natural Language Processing community, several neural DRS parsers have been developed for the problem of DRS parsing, i.e. the problem of taking a document or a sentence as input, and outputting their corresponding DRS. Liu et al. (2018) convert box-style DRSs to tree-style DRSs and propose the three-step tree DRS parser on the GMB, while van Noord et al. (2018) adopt a neural machine translation approach to parse sentences to their clause-style DRSs on PMB. Due to the different standard of annotations between GMB and PMB, and that the IWCS-2019 Shared Task of DRS Parsing mainly focuses on averagely short sentences in PMB annotations, our systems take sentences as input and output a clause-style DRS of PMB represented as a sequence for the IWCS-2018 Shared Task of DRS parsing (Abzianidze et al., 2019).

## 2 The Parsing System

Figure 2 shows the data pipeline in our system for both training and parsing. There are three main parts: (a) The component **Preprocess**, which prepares the input data to make it suitable for training and parsing models; (b) The component **Neural Model** which is based on OpenNMT; (c) The component **Postprocess** which contains some rules to ensure the system output is a well-formed DRSs.

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<sup>1</sup><https://competitions.codalab.org/competitions/20220>

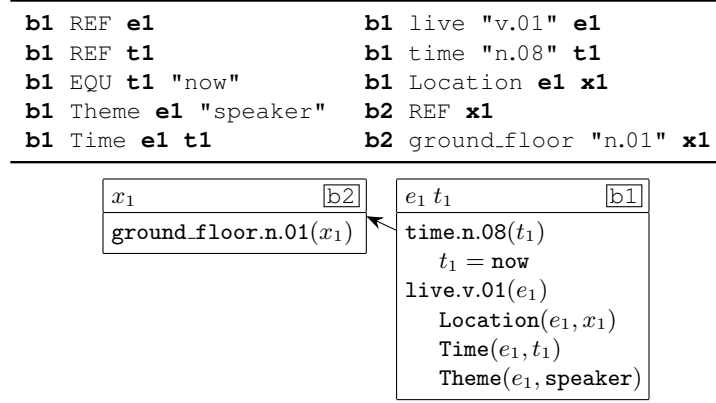


Figure 1: The clause representations (top) and box-style representations (bottom) for the sentence *I live on the ground floor.*

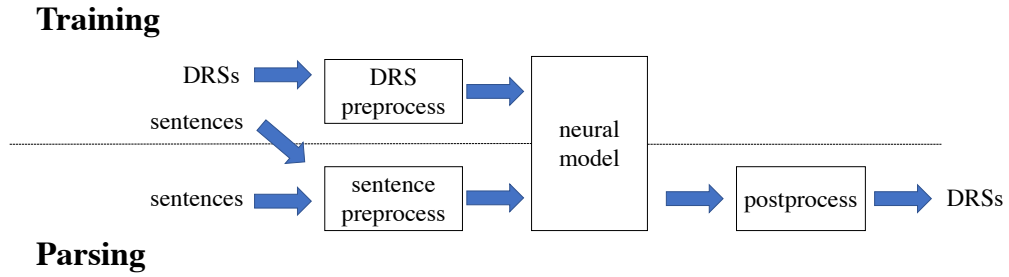


Figure 2: The framework of our DRS parsing system.

## 2.1 Preprocessing

The **Preprocess** step works on the sentences and their DRSs of the training data and on the sentences of the development and the test data. We tried two levels of preprocessing, character-level and word-level.

**Character Level** We use the scripts of van Noord et al. (2018) to perform character-level preprocessing for sentences and their DRSs. Each sentence is separated into characters where a special symbol “|||” is used to mark a word boundary.<sup>2</sup> The clauses are represented as a character sequence, except for the semantic roles, DRS operators and deictic constants, as shown in Figure 3(a). For example, “**b1** REF **e1**” is preprocessed to “\$NEW ||| REF”, which means that a new box (**b1**) is construct and a new referent (**e1**) is introduced by the box; “**b2** ground\_floor “n.01” **x1**” is preprocessed to “\$0 ||| g r o u n d \_ f l o o r ||| “ n . 0 1 ” ||| @0”, which means that the sense *ground\_floor.n.01* is constructed and then assigned to the referent @0, which is latest introduced, where @**n** ( $n \in \mathbb{Z}$ ) denotes the referent  $|n|$ th latest introduced.<sup>3</sup>. Similarly, \$**n** ( $n \in \mathbb{Z}$ ) denotes the box  $|n|$ th latest constructed.

**Word Level** Each sentence is tokenized using the Moses script<sup>4</sup> and then transformed to its lowercase form. Clauses are represented as sequences without changing the order, where a special symbol “|||” is used to start a new clause. We rule out quotation marks in clauses (e.g. “*tom*” is converted to *tom*) and

<sup>2</sup>Here, sentences are not further tokenized than they are in the data, and a token could be *I’m* or *floor.* .

<sup>3</sup>if  $n$  is positive, @**n** denotes the referent is  $n$ th latest introduced in future.

<sup>4</sup><https://github.com/moses-smt/mosesdecoder>

sentence: i ||| live ||| on ||| the ||| ground ||| floor .  
 DRS: **\$NEW** ||| REF \*\*\* **\$0** ||| REF \*\*\* **\$0** ||| EQU ||| **@0** ||| “now” \*\*\* **\$0** ||| Theme ||| **@-1**  
 ||| “speaker” \*\*\* **\$0** ||| Time ||| **@0** ||| **@-1** \*\*\* **\$0** ||| live ||| “v . 0 1 ” ||| **@-1** \*\*\* **\$0**  
 ||| time ||| “n . 0 8 ” ||| **@0** \*\*\* **\$0** ||| Location ||| **@-1** ||| **@1** \*\*\* **\$NEW** ||| REF \*\*\*  
**\$0** ||| ground\_floor ||| “n . 0 1 ” ||| **@0**

(a) character level

sentence: i live on the ground floor .  
 DRS: **\$NEW** REF ||| **\$0** REF ||| **\$0** EQU **@0** now ||| **\$0** Theme **@-1** speaker ||| **\$0** Time **@0**  
**@-1** ||| **\$0** live v.01 **@-1** ||| **\$0** time n.08 **@0** ||| **\$0** Location **@-1** **@1** ||| **\$NEW** REF ||| **\$0**  
 ground\_floor n.01 **@0**

(b) word level

Figure 3: An example of preprocessing in character level and word level, respectively.

LSTM					
Parameter	Value	Parameter	Value	Parameter	Value
layers	2	batch_size	12	global_attention	general
rnn_size	300	batch_type	sents	copy_attention	True
rnn_type	LSTM	optim	sgd	copy_attn_type	dot
dropout	0.2	learning_rate	0.7	start_decay_steps	5000
bridge	True	learning_rate_decay	0.7	decay_steps	1000
encoder_type	brnn	max_grad_norm	5	decoder_type	rnn

Transformer					
Parameter	Value	Parameter	Value	Parameter	Value
layers	6	batch_size	512	encoder_type	transformer
rnn_size	300	batch_type	tokens	decoder_type	transformer
transformer_ff	2048	optim	adam	position_encoding	True
heads	6	learning_rate	0.001	copy_attn_type	dot
dropout	0.2	global_attention	general	max_grad_norm	5
bridge	True	copy_attention	True		

Table 1: Choice of hyperparameters for our neural network models.

remain them case-sensitive. Following previous work (van Noord et al., 2018), the indices of variables in clauses are relative, as shown in Figure 3(b), which is the same to the character-level preprocessing.

## 2.2 Neural Models

We adopt Recurrent Neural Networks (RNNs) equipped with Long Shot-Term Memory (LSTM; Hochreiter and Schmidhuber 1997) units and the Transformer model (Vaswani et al., 2017) as our neural models. For the model implementation, we use the one provided by the OpenNMT-py toolkit (Klein et al., 2017). The hyperparameters we used are shown in Table 1 which are institutionally set without optimization.

**Fine-tuning** We propose a fine-tuning approach to enable the system to effectively use more training data in various quality, i.e. bronze and silver data. The fine-tuning approach allows the system train to convergence on one dataset (e.g. silver and gold data) and then continues to train to convergence on another dataset (e.g. gold data), where the optimizers are reset.

LSTM	character				word			
	P	R	F1	time(h)	P	R	F1	time(h)
sg-data	73.91	75.00	74.45	13.1	73.81	73.75	73.78	7.8
sg-data + g-data	86.05	84.78	85.41	+2.0	84.80	82.83	83.80	+0.8
Transformer	character				word			
	P	R	F1	time(h)	P	R	F1	time(h)
sg-data	69.11	69.93	69.52	5.2	75.41	75.36	75.38	5.1
sg-data + g-data	82.32	81.19	81.75	+0.6	85.76	84.45	85.10	+0.6

Table 2: Results on test partition of the Parallel Meaning Bank.

	P	R	F1
bsg-data	74.27	75.78	75.02
bsg-data + sg-data	77.74	78.78	78.26
bsg-data + g-data	86.98	86.55	86.76
bsg-data + sg-data + g-data	87.04	87.17	87.10

Table 3: Results on test dataset by word transformer

### 2.3 Postprocessing and Evaluation

We adopt the postprocessing scripts of van Noord et al. (2018) to transform back the output of our models to the clause format, and then use COUNTER (van Noord et al., 2018) as our evaluation metric.

## 3 Experiments

In this section, we introduce the training data that we used and the results on the PMB benchmarks.

### 3.1 Data

The training data consists of all of the bronze data (bronze), all of the silver data (silver), and the training section of the gold data (gold). All data is preprocessed. We mix bronze, silver and gold as **bsg-data**, and mix silver and gold as **sg-data**, and name the training section of gold data as **g-data**. Meanwhile, we adopt GloVe (Pennington et al., 2014) pre-trained word embeddings<sup>5</sup> to initialize the representation of input tokens.

### 3.2 Results

Table 2 shows the results on test data, where **sg-data** means that the models are only trained on **sg-data**, and **+ g-data** means that the models are continually fine-tuned on **g-data**. With LSTM, the character model performs marginally better than the word model. However, with Transformer, the word model performs significantly better than the character model. With both LSTM and Transformer, fine-tuning on **g-data** significantly improves the performance. Although the character LSTM is marginally better than the word Transformer, we still prefer the word Transformer as our final model, because it could be trained faster.

Table 3 shows the improved results on test dataset by using word Transformer with bronze data, where **bsg-data** means that the model is only trained on **bsg-data**, **+ sg-data** means that the model is continually fine-tuned on **sg-data**, and **+ g-data** means that the model is further fine-tuned on **g-data**. As shown in Tables 2 and 3, the improvement gap of fine-tuning on **sg-data** from **bsg-data** (3.24% F1) is narrower than that of fine-tuning on **g-data** from **sg-data** (8.84% F1). Fine-tuning on **g-data** may be the key to improve the performance on the test dataset. We believe this is due to the high similarity between

<sup>5</sup><https://nlp.stanford.edu/projects/glove/>

	char-LSTM	word-LSTM	char-transformer	word-transformer
all clauses	<b>85.41</b>	83.80	81.75	85.10
DRS operators	92.96	93.00	91.67	<b>93.72</b>
Roles	<b>85.03</b>	82.51	81.22	83.40
Concepts	83.23	81.99	78.89	<b>83.89</b>
Synsets-Noun	87.63	87.91	84.34	<b>89.75</b>
Verbs	<b>73.28</b>	66.38	66.16	68.47
Adjectives	68.92	71.06	62.45	<b>74.63</b>
Adverbs	54.55	<b>83.33</b>	50.00	40.00

Table 4: F<sub>1</sub>-scores of fine-grained evaluation on test dataset.

**g-data** and the test data. Also, we discover that the model trained on **bsg-data** then fine-tuned on **g-data** can also have good performance, but slightly worse than the final models.

We submitted the word Transformer on **bsg-data + sg-data + g-data** as our final model to the DRS parsing shared task. On the test dataset of the shared task, our model achieves 84.80 F<sub>1</sub> score.

### 3.3 Analysis

We further analyze the output of the parsers trained on **sg-data + g-data** to see what components of the meaning representation are challenging. Table 4 shows the detailed results of Counter, where DRS Operators (e.g. negation), Roles (e.g. Agent), Concepts (i.e. predicates), synsets (e.g. “n.01”) are scored separately.

We compare four parsing models, LSTM with character-level preprocessing (char-LSTM), LSTM with word-level preprocessing (word-LSTM), Transformer with character-level preprocessing (char-transformer) and Transformer with word-level preprocessing (word-transformer). The char-LSTM and word-transformer models both achieve good performance, where word-transformer performs best on the construction of DRS operators, Concepts, Synsets-Noun and Synsets-Adjectives, and char-LSTM performs best on construction of Roles and Synsets-Verbs. The word-LSTM model is mediocre, but significantly outperforms the other models on the construction of Synsets-Adverbs with a large gap of average 35.14% F<sub>1</sub> score.

## 4 Conclusions

In this paper, we describe the system for the IWCS-2019 Shared Task of DRS parsing. We found that the character-level LSTM and the word-level transformer are competitive in the task. The training time of LSTM models increases as input sequences are longer, while training time are not sensitive to the lengths of input sequences in transformer. The output of LSTM models and transformers have different error distributions. There is still a large improvement space for the sequential models.

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